



MERCURIA

Anti-Slavery and Human Trafficking Statement

Mercuria's Anti-Slavery Principle:

“Mercuria has a zero tolerance policy when it comes to modern slavery and human trafficking. We are opposed to all forms of modern slavery in whatever form and are committed to contributing to the combatting of it in whichever way we can. We expect all of the suppliers, consumers, counterparties, vendors and partners that we work with to behave in a way that is consistent with this principle”.

Mercuria is a physical commodities trading company which operates across the globe. Our core business is sourcing, supplying, refining, transforming, storing and transporting physical commodities, helping to maintain the global balance between areas of excess and areas of deficit.

Modern slavery is a criminal offence under the Modern Slavery Act 2015. Modern slavery can take many forms including forced or compulsory labour and human trafficking. Mercuria has a zero tolerance towards modern slavery and is committed to opposing all forms. We expect all those with whom we have a business relationship to familiarize themselves with Mercuria's position in this regard and to act at all times in a way that is consistent with this position.

Modern Slavery occurs when a person is under control of another person, who applies violence and / or force to maintain that control, and the goal of that control is exploitation. Modern Slavery today takes many different forms; with bonded, child or forced labour posing the largest risk to the commodities industry.

As part of its commitment to combating Modern Slavery, Mercuria has implemented various procedures and policies. These include procedures and policies which require the conduct of due diligence on all of its potential and existing business investments and acquisitions. Additionally Mercuria conducts due diligence on its business partners, suppliers and consumers. Mercuria has contractual provisions in its contracts which

require compliance with anti-slavery laws and has various policies applicable to staff which relate to compliance with this principle.

All of our employees are required to ensure that they are familiar with and comply with Mercuria's position on modern slavery and human trafficking.

Employees should be vigilant and aware in their dealings with suppliers and consumers and if there are any concerns or indications that there is any potential modern slavery, human trafficking or other human rights violations they should notify the compliance team immediately and before proceeding or continuing with any business activity. Additionally, the company's whistleblowing procedures can be followed to report such concerns.

You can reach the Mercuria compliance team by emailing ComplianceGlobal@mercuria.com or telephoning the Compliance Hotline on +1 832 531 7700.